

**BRIDGEND COUNTY BOROUGH COUNCIL**

**REPORT TO AUDIT COMMITTEE  
20<sup>th</sup> August 2008**

**REPORT OF THE CORPORATE DIRECTOR - RESOURCES**

**COMPLETED AUDITS**

**1. Purpose of Report.**

1.1. To summarise for members the findings of the audits recently completed by the Internal Audit Division.

**2. Connection to Corporate Improvement Plan / Other Corporate Priority.**

2.1. Internal Audit's work impacts on all of the Corporate Improvement Plan/other corporate priorities.

**3. Background**

3.1. Internal Audit conducts reviews according to an annual audit plan and reports findings to Audit Committee.

**4. Current situation / proposal.**

4.1. Recently completed audits are summarised in the following table:

Report	Draft Report Issued	Audit Days	Key Messages	Audit Opinion	Key Action Plan Dates
Public Protection Health & Safety	March 2008	32	<ul style="list-style-type: none"> <li>• The Public Protection department along with the Health and Safety Executive (HSE) regulate health and safety risks arising from work activity.</li> <li>• It is the enforcing authority for workplaces such as: <ul style="list-style-type: none"> <li>○ Retail Outlets</li> <li>○ Offices</li> <li>○ Hotels</li> <li>○ Warehouse</li> <li>○ Tyre Fitting Premises</li> <li>○ Where the main work activity of the business is wholesale, leisure or consumer services based</li> </ul> </li> <li>• Good evidence to demonstrate that the team was well managed and maximum use was made of the professionally qualified staff.</li> <li>• Good use was being made of the AUTHORITY database system to meet the needs of the department in terms of providing a complete service to the public and premises owners within the borough.</li> <li>• Contributes to national enforcement and educational activities as part of HELA (Health and Safety Executive and Local Authority Liaison) strategies.</li> <li>• Good evidence of the department planning, integrating and reviewing this work to fit in with the priorities of the authority</li> <li>• Potential weaknesses in ensuring consistency of risk scores awarded by different officers.</li> </ul>	Adequate Assurance	May 2008

Report	Draft Report Issued	Audit Days	Key Messages	Audit Opinion	Key Action Plan Dates
Highways Maintenance	Feb 2008	35	<ul style="list-style-type: none"> <li>• Good work carried out within the resources allocated</li> <li>• Highest success rate in Wales in repudiating insurance claims.</li> <li>• Achieved Level 2 of the Green Dragon Environmental Standard</li> <li>• Recycling of 2041 tonnes of Highway/Building Maintenance Waste</li> <li>• Making cost savings of £38,637 through operating the Highways recycling and reclamation project</li> <li>• however that there is an overall deterioration in the general condition of principal and non-principal road carriageways</li> <li>• This issue shared with many other L.A.s</li> <li>• Improvements agreed in: <ul style="list-style-type: none"> <li>○ Budgetary Control Processes</li> <li>○ Contractor Selection &amp; Monitoring</li> </ul> </li> </ul>	Adequate Assurance	July 2008 To April 2009
CCTV Follow Up	June 2008	2	<ul style="list-style-type: none"> <li>• Disappointing that 5 out of 9 agreed recommendations had not been implemented.</li> <li>• However opinion in original audit was that controls provided adequate assurance and that remains the case.</li> <li>• Now under new management and Internal Audit will be revisiting this year.</li> </ul>	Adequate Assurance	N/A
Trading Standards Follow Up	June 2008	2	<ul style="list-style-type: none"> <li>• Disappointing that 5 out of 9 agreed recommendations had not been implemented.</li> <li>• However opinion in original audit was that controls provided adequate assurance and that remains the case</li> </ul>	Adequate Assurance	Sept 2008

Report	Draft Report Issued	Audit Days	Key Messages	Audit Opinion	Key Action Plan Dates
Environmental Health Follow Up	June 2008	2	<ul style="list-style-type: none"> <li>Disappointing that 3 out of 9 agreed recommendations had not been implemented.</li> <li>However opinion in original audit was that controls provided adequate assurance and that remains the case</li> </ul>	Adequate Assurance	June 2008
Car Parks Follow up	June 2008	2	<ul style="list-style-type: none"> <li>Disappointing that 3 out of 9 agreed recommendations had not been implemented.</li> <li>However opinion in original audit was that controls provided adequate assurance and that remains the case.</li> </ul>	Adequate Assurance	May 2008
Concessionary Fare & Supported Bus Transport	June 2008	2	<ul style="list-style-type: none"> <li>Disappointing that 2 out of 4 agreed recommendations had not been implemented.</li> <li>However opinion in original audit was that controls provided adequate assurance and that remains the case.</li> </ul>	Adequate Assurance	N/A

4.2. I will be happy to deal with any points members wish to raise on these audits or if they wish to produce a fuller report at the next meeting

## 5. Effect upon Policy Framework & Procedure Rules.

5.1. None

## 6. Legal Implications.

6.1. There are no legal implications.

## 7. Financial Implications.

7.1. None

## 8. Recommendation.

8.1. That Members note the report

**Gareth Moss**  
**Director of Resources**  
**13<sup>th</sup> August 2008**

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**Background documents**

Internal Audit reports relating to the above audits held within the Internal Audit Division